

**Estate Valuation**  
**Prepared for the Estate of: John Doe, Dec'd**  
**As of 11/10/2014**

<b>CUSIP</b>	<b>Symbol</b>	<b>Description</b>	<b>Coupon</b>	<b>Maturity</b>	<b>Shares/Par</b>	<b>Security Value</b>	<b>Position Value</b>	<b>Accrued Int</b>	<b>Factor</b>
59259RS54	59259RS5	METROPOLITAN TRANSN AUTH	4.75	11/15/2031	25,000	\$ 106.51100	\$ 26,627.75	\$ 577.26	
64972FHV1	64972FHV	NEW YORK NY CITY MUN WTR FIN AUTH WTR & SWR SYS	4.75	6/15/2030	35,000	\$ 108.42500	\$ 37,948.75	\$ 669.62	
64982PGE6	64982PGE	NEW YORK ST DORM AUTH LEASE REV	4.75	1/15/2029	15,000	\$ 100.32200	\$ 15,048.30	\$ 227.60	
649903H61	649903H6	NEW YORK ST DORM AUTH REVS	4.75	1/15/2030	40,000	\$ 107.31800	\$ 42,927.20	\$ 606.94	
649903DW8	649903DW	NEW YORK ST DORM AUTH REVS	4.75	2/15/2037	30,000	\$ 102.63600	\$ 30,790.80	\$ 336.46	
44420PAB0	44420PAB	HUDSON YDS INFRASTRUCTURE CORP NY REV	5.00	2/15/2047	25,000	\$ 105.41700	\$ 26,354.25	\$ 295.14	
542690B27	542690B2	LONG ISLAND PWR AUTH NY ELEC SYS REV, REV BDS	5.00	12/1/2021	15,000	\$ 108.02100	\$ 16,203.15	\$ 331.25	
59259YPK9	59259YPK	METROPOLITAN TRANSN AUTH NY REV	5.00	11/15/2031	40,000	\$ 114.97900	\$ 45,991.60	\$ 972.22	
59259YZQ5	59259YZQ	METROPOLITAN TRANSN AUTH NY REV	5.00	11/15/2027	35,000	\$ 113.17300	\$ 39,610.55	\$ 850.69	
59259RUW2	59259RUW	METROPOLITAN TRANSN AUTH NY REV	5.00	11/15/2033	30,000	\$ 103.94000	\$ 31,182.00	\$ 729.17	
64966KFK4	64966KFK	NEW YORK N Y, GO BDS	5.00	8/1/2028	30,000	\$ 116.11900	\$ 34,835.70	\$ 412.50	
64970K4U8	64970K4U	NEW YORK NY CITY MUN WTR FIN AUTH WTR & SWR SYS REV	5.00	6/15/2039	25,000	\$ 102.57000	\$ 25,642.50	\$ 503.47	
64971Q6Q1	64971Q6Q	NEW YORK NY CITY TRANSITIONAL FIN AUTH REV	5.00	5/1/2034	30,000	\$ 113.80900	\$ 34,142.70	\$ 37.50	
64971Q8J5	64971Q8J	NEW YORK NY CITY TRANSITIONAL FIN AUTH REV	5.00	11/1/2038	25,000	\$ 113.43000	\$ 28,357.50	\$ 31.25	
64990HVD9	64990HVD	NEW YORK ST DORM AUTH REVS ST SUPPORTED DEBT, REV BDS	5.00	2/15/2030	20,000	\$ 101.22500	\$ 20,245.00	\$ 236.11	
64990HVG2	64990HVG	NEW YORK ST DORM AUTH REVS ST SUPPORTED DEBT, REV BDS	5.00	2/15/2030	5,000	\$ 101.11100	\$ 5,055.55	\$ 59.03	
745190MS4	745190MS	PUERTO RICO CMNWLTH HWY & TRANSN AUTH TRANSN REV	5.00	7/1/2028	15,000	\$ 55.06400	\$ 8,259.60	\$ 268.75	
898526DA3	898526DA	TSASC INC N Y, TOBACCO SETTLEMENT BDS	5.13	7/1/2042	150,000	\$ 79.99900	\$ 119,998.50	\$ 3,395.31	
531127AC2	531127AC	LIBERTY NY DEV CORP REV, REV BDS	5.25	10/1/2035	30,000	\$ 117.73400	\$ 35,320.20	\$ 170.63	
64966EXP7	64966EXP	NEW YORK N Y, GENL OBLIG BDS	5.50	11/15/2037	5,000	\$ 100.30200	\$ 5,015.10	\$ 133.68	
64983Q7P8	64983Q7P	NEW YORK ST DORM AUTH REVS, REV BDS	5.50	2/15/2030	30,000	\$ 110.47700	\$ 33,143.10	\$ 389.58	
745220FR9	745220FR	PUERTO RICO CMNWLTH INFRASTRUCTURE FING AUTH	5.50	7/1/2026	35,000	\$ 98.05900	\$ 34,320.65	\$ 689.79	
64971CF41	64971CF4	NEW YORK NY CITY INDL DEV AGY CIVIC FAC REV	5.70	6/15/2027	20,000	\$ 87.00000	\$ 17,400.00	\$ 459.17	
78490FJJ9	US78490FJJ93	SLM CORPORATION	6.00	3/15/2029	30,000	\$ 83.47800	\$ 25,043.40	\$ 125.00	
07387EFP7	US07387EFP79	JPMORGAN CHASE & CO.	6.13	7/15/2029	18,000	\$ 96.04200	\$ 17,287.56	\$ 352.19	
88256CEX3	88256CEX	TEXAS MUN GAS ACQUISITION & SUPPLY CORP I GAS SUPPLY REV	6.25	12/15/2026	30,000	\$ 123.23400	\$ 36,970.20	\$ 755.21	
812404AX9	SRB27	SEARS ROEBUCK ACCEPTANCE CORP.	7.50	10/15/2027	15,000	\$ 61.25000	\$ 9,187.50	\$ 78.13	
345370BM1	FMCO43	FORD MOTOR COMPANY	7.75	6/15/2043	30,000	\$ 130.17500	\$ 39,052.50	\$ 936.46	
530715AJ0	US530715AJ01	LIBERTY MEDIA CORPORATION	8.25	2/1/2030	25,000	\$ 109.37500	\$ 27,343.75	\$ 567.19	
060505617	BML.PRI	BANK OF AMERICA CORPORATION PFD 1/1200SER3			815	\$ 139.21500	\$ 113,460.23		
05541T101	BGCP	BGC PARTNERS INCORPORATED CLASS A			6,500	\$ 8.51500	\$ 55,347.50		
231631102	SRV	CUSHING MLP TOTAL RETURN FD COM SHS			14,005	\$ 8.30500	\$ 116,311.53		
25153Y206	DTT	DEUTSCHE BANK CAPITAL FUNDING RUST IX PFD 6.625% GTD			2,000	\$ 25.72000	\$ 51,440.00		
27827Y109	ENX	EATON VANCE NEW YORK MUN BD FD			1,000	\$ 12.43500	\$ 12,435.00		
353612641	FCSZX	FRANKLIN CONVERTIBLE SECURITIES FUND ADVISOR CLASS N/L			727	\$ 18.84000	\$ 13,693.48		
362397101	GAB	GABELLI EQUITY TR INCORPORATED			2,700	\$ 6.78000	\$ 18,306.00		
41902R103	HTS	HATTERAS FINL CORPORATION REIT			1,090	\$ 18.97000	\$ 20,677.30		
46131T101	VTN	INVESCO TR INVT GRADE NY MUNS			1,300	\$ 13.47000	\$ 17,511.00		

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464288513	HYG	ISHARES IBOXX HI YD ETF			165	\$ 92.35500	\$ 15,238.58		
464288539	REM	ISHARES MRG RL ES CP ETF			1,455	\$ 12.40500	\$ 18,049.28		
536020100	LINE	LINN ENERGY LLC UNIT LTD LIAB			780	\$ 24.05500	\$ 18,762.90		
586048100	MEMP	MEMORIAL PRODTN PARTNERS LP COM U REP LTD			1,400	\$ 17.46500	\$ 24,451.00		
59156R603	MET.PRB	METLIFE INCORPORATED. PFD B 6.50			1,000	\$ 25.90500	\$ 25,905.00		
66401P100	NTLNF	NORTHCORE TECH INCORPORATED			187	\$ 0.00300	\$ 0.56		
67090N109	JGT	NUVEEN DIVER CURRENCY OPPOR FD			2,200	\$ 10.75500	\$ 23,661.00		
683957708	OPIYX	OPPENHEIMER ROCHESTER LIMITED TERM MUNICIPAL FD CL Y N/L			0	\$ 14.28000	\$ 2.26		
73936T565	PGX	POWERSHARES GLOBAL ETF AGGREGATE PFD PORTOLIO			1,900	\$ 14.67000	\$ 27,873.00		
780097747	RBS.PRR	ROYAL BANK OF SCOTLAND 6.125% NON- CUM PERPET PEF			1,000	\$ 24.19500	\$ 24,195.00		
780097754	RBS.PRQ	ROYAL BANK OF SCOTLAND PLC 6.75% SER Q NON-CUM PERPET PEF SHARES			1,000	\$ 25.31700	\$ 25,317.00		
780915104	RMT	ROYCE MICRO-CAP TR INCORPORATED			3,000	\$ 12.13500	\$ 36,405.00		
		Total Security Balance					\$ 1,528,347.95		
		Total Cash Balance					\$ 405.36		
<b>Totals</b>							<b>\$ 1,528,753.31</b>	<b>\$ 15,197.29</b>	

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**Caution: We do not provide date of death prices for options, AIG Products, Annuities or Raymond James Bank CDs.**

FMV Calculated as follows:

Equity: Weekday: (High + Low)/2 Weekend: (((Fri High + Fri Low)/2) + ((Mon High + Mon Low)/2))/2

Bond: Weekday: Last Closing Price Weekend: (Fri Last Closing Price + Mon Last Closing Price)/2

Mutual Fund: Last Closing FNAV



400 Columbus Ave., Ste. 30S Valhalla, NY 10595  
 Phone: (914) 741-9700 | Fax: (914) 741-9714